

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Shriram Pistons & Rings Limited**  
 2. Quarter ending - **31-Mar-2023**

**i. Composition Of Board Of Director**

Title (Mr/Ms)	Name of Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive / Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified ?	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations ]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mrs.	Meenakshi Dass	00524865	AAJPD9324P	NED		28-Mar-2009	29-Jun-2021			04-Apr-1964	No	NA		1	0	0	0	RMC
Mr.	Pradeep Dinodia	00027995	AAFDP2546M	C & NED		16-May-2003	29-Jun-2022			02-Dec-1953	No	NA		3	1	8	5	AC,SC,RC,NRC
Mr.	Hari S. Bhartia	00010499	ADRPB6359B	ID		27-Jan-2009	31-Mar-2020		36	12-Dec-1956	No	NA		5	2	1	0	
Mr.	Inderdeep Singh	00173538	ABEPS1563M	ID		25-Mar-2008	29-Jul-2019		104.02	18-Aug-1960	No	Yes	09-Jul-2019	2	1	1	1	AC,RC,NRC
Mr.	Luv D. Shriram	00051065	ABBPS9860J	ED		01-Apr-2009	11-Aug-2020			02-Aug-1972	No	NA		1	0	0	0	RMC
Mr.	Alok Ranjan	08254398	ABIPR7744K	ID		30-Mar-2019	30-Mar-2019		48.01	09-Mar-1956	No	NA		1	1	1	0	AC,RC,NRC
Mrs.	Ferida Chopra	08415847	AACPC7048L	ID		30-Mar-2019	30-Mar-2019		48.01	30-Apr-1956	No	NA		1	1	2	1	AC,SC,NRC
Mr.	Krishnakumar Srinivasan	00692717	AKJPS0519B	ED	CEO-MD	11-Feb-2020				14-Mar-1966	No	NA		1	0	1	0	SC,RC
Mr.	Sascha putz	08645364	ZZZZ9999Z	NED		30-Mar-2021	06-Jul-2021			01-Oct-1972	No	NA		1	0	0	0	
Mr.	Shinichi Unno	09189521	ZZZZ9999Z	ID		31-May-2021	06-Jul-2021		22	24-Aug-1966	No	NA		1	1	0	0	
Mr.	Masaaki Yamaguchi	07106759	ZZZZ9999Z	NED		06-Apr-2022	29-Jun-2022			07-Jan-1962	No	NA		1	0	0	0	
Mr.	Yasunori Maekawa	06952173	ZZZZ9999Z	AD	NED	06-Apr-2022				27-Feb-1958	No	NA		1	0	0	0	

Company Remarks	Under Annexure II - Disclosure on website in terms of Listing Regulations, we are unable to mention the specific link as it is showing an error that please enter website name in proper format.
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

**ii. Composition of Committees**

**a. Audit Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Pradeep Dinodia	C & NED	Member	23-Jun-2004	
2	Ferida Chopra	ID	Member	10-May-2019	
3	Alok Ranjan	ID	Member	10-May-2019	
4	Inderdeep Singh	ID	Chairperson	27-Jun-2014	

Company Remarks	
Whether Regular chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Ferida Chopra	ID	Chairperson	10-May-2019	
2	Pradeep Dinodia	C & NED	Member	23-Mar-2009	
3	Krishnakumar Srinivasan	ED	Member	01-Jul-2020	

Company Remarks	
Whether Regular chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Alok Ranjan	ID	Member	10-May-2019	
2	Luv D. Shriram	ED	Member	27-Jun-2014	
3	Meenakshi Dass	NED	Member	27-Jun-2014	
4	Pradeep Dinodia	C & NED	Chairperson	27-Jun-2014	
5	Inderdeep Singh	ID	Member	27-Jun-2014	
6	Krishnakumar Srinivasan	ED	Member	01-Jul-2020	

Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Ferida Chopra	ID	Member	01-Jul-2020	
2	Alok Ranjan	ID	Chairperson	10-May-2019	
3	Pradeep Dinodia	C & NED	Member	25-Mar-2008	
4	Inderdeep Singh	ID	Member	29-Jan-2016	

Company Remarks	
Whether Regular chairperson appointed	Yes

**iii. Meeting of Board of Directors**

<b>Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)</b>	<b>Whether requirement of Quorum met (Yes/No)</b>	<b>Total Number of Directors as on date of the meeting</b>	<b>Number of Directors present (All directors including Independent Director)</b>	<b>No. of Independent Directors attending the meeting</b>
01-Nov-2022	Yes	12	10	4
15-Dec-2022	Yes	12	11	5
08-Feb-2023	Yes	12	10	4
29-Mar-2023	Yes	12	10	4

Company Remarks	While validating Meeting of Board of Directors sheet, it shows Total Number of Directors as on date of the Meeting shall be equal to the members forming the part of the BOD. Therefore, the Total Number of Directors (12) as on date of meeting also includes name of Shri Yasunori Maekawa who is a Alternate Director to Shri Masaaki Yamaguchi.
Maximum gap between any two consecutive (in number of days)	54

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	01-Nov-2022	Yes	4	4	3	0
Audit Committee	15-Dec-2022	Yes	4	4	3	0
Audit Committee	08-Feb-2023	Yes	4	4	3	0
Audit Committee	29-Mar-2023	Yes	4	4	3	0
Risk Management Committee	08-Feb-2023	Yes	6	6	2	0
Stakeholders Relationship Committee	29-Mar-2023	Yes	3	3	1	0
Nomination & Remuneration Committee	29-Mar-2023	Yes	4	4	3	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	54

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **PANKAJ GUPTA**  
**Designation** : **Company Secretary & Compliance Officer**

**ANNEXURE II**

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status</b>	<b>Company Remark</b>	<b>Website</b>
<b>As per regulation 46(2) of the LODR:</b>			
Details of business	Yes		www.shrirampistons.com
Terms and conditions of appointment of	Yes		www.shrirampistons.com
Composition of various committees of board of	Yes		www.shrirampistons.com
Code of conduct of board of directors and senior	Yes		www.shrirampistons.com
Details of establishment of vigil mechanism/ Whistle	Yes		www.shrirampistons.com
Criteria of making payments to non-executive	Yes		www.shrirampistons.com
Policy on dealing with related party transactions	Yes		www.shrirampistons.com
Policy for determining 'material' subsidiaries	Yes		www.shrirampistons.com
Details of familiarization programs imparted to	Yes		www.shrirampistons.com
Email address for grievance redressal and other relevant details entity who are responsible for	Yes		www.shrirampistons.com
Contact information of the designated officials of the	Yes		www.shrirampistons.com
Financial results	Yes		www.shrirampistons.com
Shareholding pattern	Yes		www.shrirampistons.com
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or	Not Applicable		
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Not Applicable		www.shrirampistons.com
Credit rating or revision in credit rating obtained by	Yes		www.shrirampistons.com
Separate audited financial statements of each	Yes		www.shrirampistons.com
<b>As per other regulations of the LODR:</b>			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.shrirampistons.com
Materiality Policy as per Regulation 30	Yes		www.shrirampistons.com
Dividend Distribution policy as per Regulation 43A	Yes		www.shrirampistons.com
It is certified that these contents on the website of	Yes		
<b>II Annual Affirmations</b>			
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status</b>	<b>Company Remark</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	

<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment &amp; Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination &amp; remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	21(3A)	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	

<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D &amp; O Insurance for Independent Directors</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

<b>Other Information</b>	
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### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

<b>Other Information</b>	<b>The Company does not have any Material Subsidiary as on March 31, 2023.</b>
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**Name** : **PANKAJ GUPTA**  
**Designation** : **Company Secretary**



**ANNEXURE IV**

symbol	company Name	Quarter Ended
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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<b>Affirmations</b>	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
<b>Company Remarks in case of non-compliant status</b>	No such transactions

<p><b>Name:</b> Prem Rathi <b>Designation:</b> Chief Financial Officer <b>Place:</b> New Delhi <b>Date:</b> 21-Apr-2023</p>
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